





This session

- Is an introduction
 to the new procedures for multi-agency staff, including
 why and how they were produced
- Identifies main changes impacting staff involved in making safeguarding reports (making safeguarding referrals)





This session is not

- In-depth training
- For staff undertaking LA enquiries and investigations
- For staff undertaking the role of Lead Co-ordinator or Lead Practitioner (LA and Health)





Gwent's 3 stepped approach to training:

Implementation

- April 6, 2020
- National training materials available from Wales
 Safeguarding Board via Social Care Wales website

Step 1 (April – October 2020)

 Multi-agency briefing sessions (Delivered by Gwent Safeguarding)





Step 2

 Full day safeguarding courses incorporating the new procedures for those needing to update previous level 2 training attended.

Step 3

- Specific briefings/training for Lead Practitioners, Lead Coordinators, Report Takers in LA and health
- delivered in-house within LA, ABUHB, Police





Wales Safeguarding Procedures

- Produced by Cardiff and the Vale Regional Safeguarding Board, in collaboration with the other 5 Regional Safeguarding Boards, and funded by Welsh Government
- First major collaboration between the 6 Regional Safeguarding Boards since being introduced by the Social Services and Well-being Act (Wales) Act 2014
- National Project Board oversaw production of the procedures; consisted of members of the 6 Regional Safeguarding Boards, Welsh Government and Social Care Wales.



Replace all previous procedures

All Wales Child Protection Procedures 2008

Wales Interim Policy & Procedures for the Protection of Vulnerable Adults from Abuse 2010 (updated 2013)





The Wales Safeguarding Procedures are not available in print format. They are only available **digitally**...

Free App



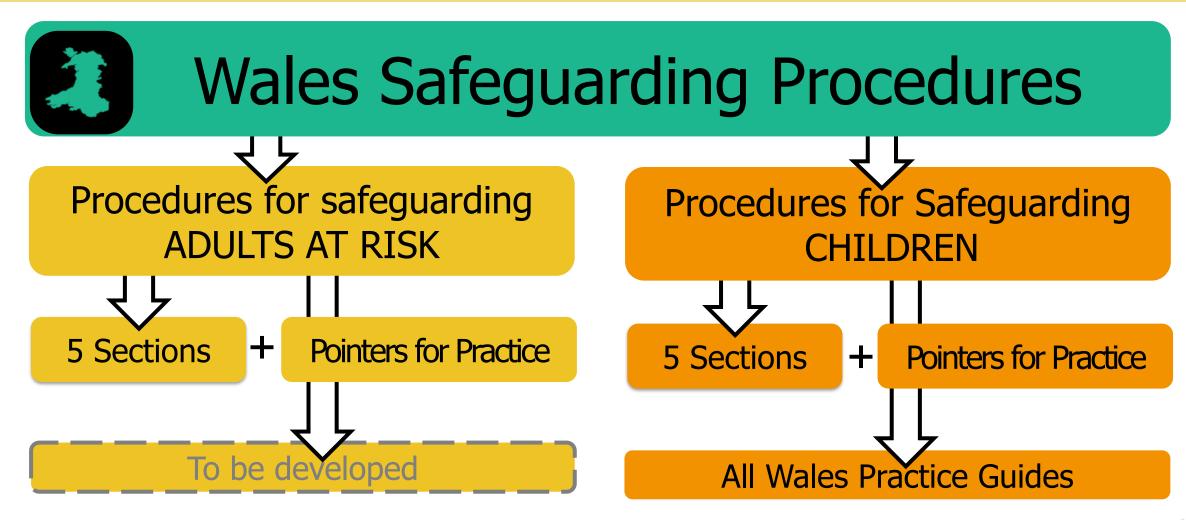


Website

<u>safeguarding.wales</u> <u>diogelu.cymru</u>

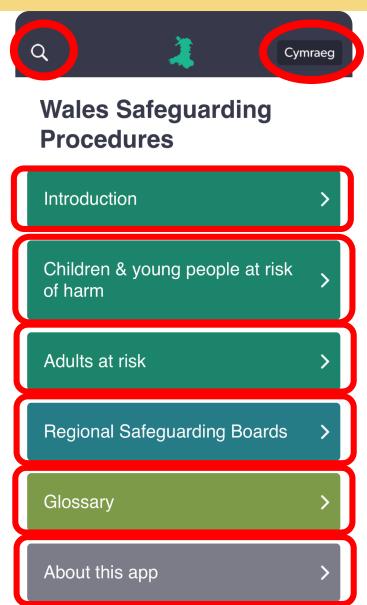












Choose language English / Welsh **Search** for a word or topic

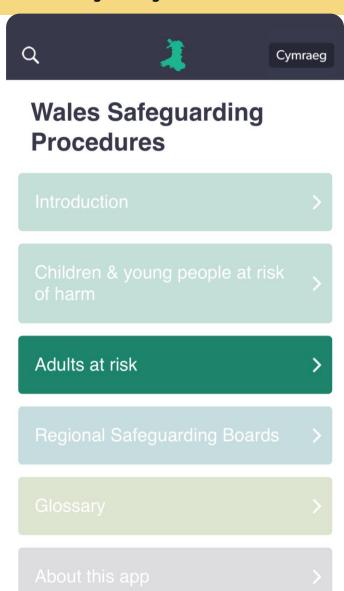
Introduction / background to the Wales Safeguarding Procedures Procedures for Safeguarding Children and Young People Procedures for Safeguarding Adults at Risk All Regional Safeguarding Board websites

Glossary of terminology

Includes **email** to report any issues







Procedures for Safeguarding Adults at Risk





Sections 1-4: Safeguarding Procedures for Adults at Risk



Adults at Risk

Section 1

Safeguarding principles and effective practice: adults at risk of abuse and/or neglect





The duty to report an adult at risk of abuse



Responding to a report of an adult at risk of

Section 3 part 2

Responding to a report of an adult at risk



Section 4

Planning and intervention for adults at risk



Section 5





Section 1

Each page provides
details of the roles,
responsibilities
and expectations of
practitioners...



Safeguarding principles and effective practice: adults at risk of abuse and/or neglect

Overview of this section	>
Safeguarding principles	>
A person-centred approach	>
An effective safeguarding system	>
Definitions of adults at risk of abuse and neglect	>
The safeguarding process: an overview	>
Prevention and early help to address any emerging concerns	>
Identifying potentially abusive situations and/or emerging safeguarding concerns	>
Delivering appropriate interventions: co-	>





... and further resources and information...

...with highlighted terminology

...external links

...and **Pointers for Practice, additional** resources



Cymraeg

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of the

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Neglect

This describes social or psyc

or day care pr

Practitioners s adult at risk of **Female Genital Mutilation**

Female genital mutilation (FGM) comprises all procedures that involve partial or total removal of the external female genitalia, or other injury to the person's well- female genital organs for non-medical reasons The FGM mandatory reporting duty is a legal duty provided for in the FGM Act 2003 (as

It can take pla amended by the Serious Crime Act 2015). The legislation requires regulated health and social care professionals and teachers in England and Wales to make a report to the police if they have reason to believe a girl under the age of 18 years has been subject to FGM. The duty does not

> apply in relation to at risk or suspected cases or in cases where the woman is over 18. In these cases, local safeguarding procedures should be

 Violence against women (VAWDASV) this includes Female Genital Mutilation

Modern Slavery

Domestic abuse and violence against men

Criminal exploitation

It is important to note that this NOT exhaustive lists. Rather, they are provided to offer practitioners some pointers that may alert them to possible abuse or neglect in an adult.

Pointers for Practice: Signs and Indicators of Possible Abuse and Neglect in an Adult at Risk

Safeguarding Adults at Risk Definitions Ann Craft Trust





Pointers for Practice

Information, guidance and resources on **how** to effectively safeguard







Cymraeg

Pointers for Practice: Signs and Indicators of Possible Abuse and Neglect in an Adult at risk

The table below provides a description of the different forms of abuse and neglect that adults at risk may experience and ways in which the maltreatment may manifest itself.

It is important to note that maltreatment may be linked to one specific incident or ongoing and/or repeated abuse and neglect.

The maltreatment may result from one issue such as alcohol or drug misuse or an accumulation of circumstances and stressors, such as domestic violence and abuse, social isolation and deprivation.

Practitioners should be mindful that assessing harm does not mean merely listing the risk factors that are accumulating and assuming the longer the list the more likely the level of harm: just one risk factor may have a significant impact.

Types and Indicators of Abuse

Form of maltreatment: Physical abuse

Description:

- Assault, hitting, slapping, punchill cking, hair-pulling, biting, pushing
- Rough handling
- Scalding and hurning





You may wish to pause the presentation here to download the app or open the website and familiarise yourself with the sections and features of the Wales Safeguarding Procedures.

www.safeguarding.wales

App search: Wales Safeguarding Procedures



Practitioner

BLANKET TERM to describe <u>anyone</u> who, through their work, has contact with adults at risk:

- in **paid employment** (temporary staff, students, trainees, casual staff, agency staff, personal assistants under the direct payment scheme, etc.)
- unpaid workers / carers
- Volunteers
- self-employed workers
- a person contracted to provide services





Ensure practice accurately reflects statutory guidance

- Social Services and Well-being (Wales) Act 2014 Part 7
 Safeguarding
- Working Together to Safeguard People
- Code of Practice under Part 10 of the Social Services and Well-being (Wales) Act 2014
- Other relevant legislation, guidance and protocols





Ensure process and practice are standardised across all agencies

consistent approach
consistent systems
consistent processes
consistent terminology





Provide clear expectations for safeguarding practice by...

- Helping practitioners to apply legislation and statutory guidance by explaining what their responsibilities are and how to meet them
- Encouraging reflective practice with a significant shift from prescriptive procedures to an emphasis on using professional judgement
- Strengthening and clarifying the procedures everyone must follow to adhere to and apply legislation and guidance

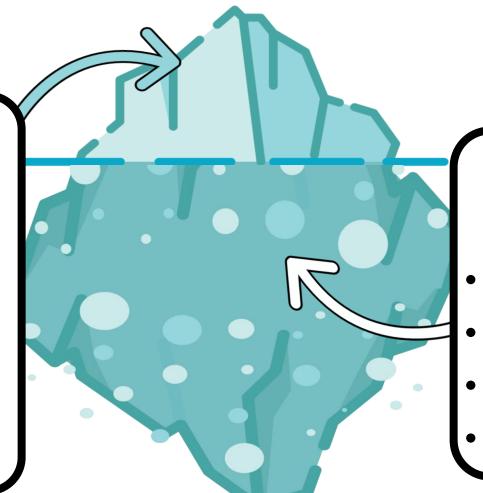


Change in Ethos

Before

"Tip of the lceberg"

- Evident signs & symptoms
- Specific concerns
- Superficial impact
- Non-specific outcomes



Now

"Below the Water"

- root causes
- patterns of behaviour
- motivation and ability
- meaningful outcomes

Change in Approach

Focus → **Service**

Adults at risk seen as 'objects of concern'

Safeguarding a process done **to them -** 'doing an investigation' 'completing enquiries' 'case number'

Enquiries undertaken without considering the adult's particular needs

Focus \rightarrow Adult at risk

Engaging with the adult, carers and family

Enabling them to know what difference can be made to their lived experience

Enquiries achieve **meaningful improvement** to their circumstances





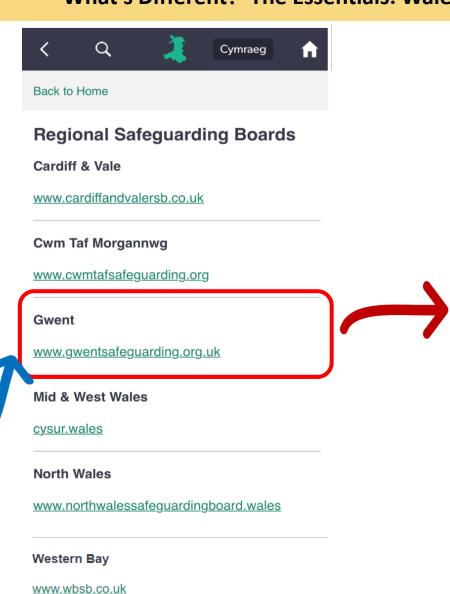
Prevention & Early Intervention

Change in emphasis to a pro-active approach that focuses on reducing the need for safeguarding enquiries and intervention by:

- protecting adults from becoming adults at risk
- addressing concerns early
- avoiding escalation of problems
- reducing the need for safeguarding
- providing long-term benefits for the adult's health and well-being

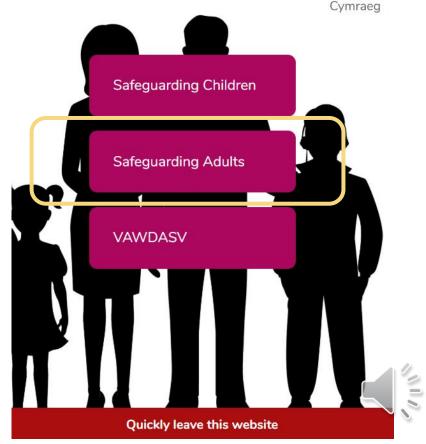








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About Gwent Safeguarding

'What's Different?' The Essentials: Wales Safeguarding Procedures | Adult Services



Training

Local Authorities must provide an **Information, Advice and Assistance Service (IAA)** that:

- proactively promotes early intervention and prevention
- emphasises advocacy and co-production
- provides the public with information and advice to prevent escalation of difficulties to situations that require more intensive, specialist intervention





Public, Families and Carers

Together with other organisations, we have a shared responsibility to ensure that we actively prevent adults being abused and neglected and safeguard those who are at risk of abuse. This section is intended to provide information to adults who may need care and support, families and carers.

What is Abuse?



Who is at risk?



Abuse is mistreatment by any other person or persons that violates a person's human and civil rights. The

The Social Services and Well-Being (Wales) Act 2014 states that an "adult at risk", is an adult who is **Information** - help a person make an **informed choice** about their wellbeing.

Advice - work co-productively with a person to explore options, ensure they understand what is available to them, and actively involve them in making decisions about what matters to them and the personal outcomes they wish to achieve.

Assistance - take action with the person to access care and support, or a carer to access support.





Professionals

The following pages contain information for professionals and volunteers who may come into contact with children, their families and adults at risk during the course of their work. Each page will provide more information on the headline topic.

Whilst the topics covered are the most common you may encounter, there will be others that are covered within different parts of this website such as Protocols, Procedures and Consultation Documents or the Resource Hub.

You may also find it helpful to look in the <u>Safeguarding Children</u> section or the VAWDASV section to see additional safeguarding information.

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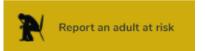
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Diogelu Gwent **Gwent Safeguarding**

Safeguarding Children VAWDASV

Search this site

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Public, Families and Carers Professionals About Gwent Safeguarding Training

Coronavirus (COVID-19): latest information and advice

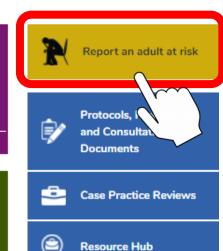
Welcome to Safeguarding Adults in Gwent











If you are concerned about abuse or neglect please contact the following:

Blaenau Gwent

Tel: 01495 315700

Email: DutyTeamAdults@blaenau-gwent.gov.uk

Caerphilly

Tel: 0808 100 2500

Email: IAAAdults@caerphilly.gov.uk

Torfaen

Tel: 01495 762200

Email: socialcarecalltorfaen@torfaen.gov.uk

Newport

Tel: 01633 656656

Email: firstcontact.adults@newport.gov.uk or pova.team@newport.gov.uk

Monmouthshire

Tel: 01873 735492

Email: MCCadultsafeguarding@monmouthshire.gov.uk

If it is an emergency and you need to contact us outside of office hours please call our Team on 0800 328 4432.

What will happen if you report the abuse?

We will provide you with the support and advice you need to help you to make any de you in taking action to end the abuse and ensure that it doesn't happen again.

What you tell us will be treated sensitively but we may have to tell other people to hel the concern.

If abuse is reported to social services, we may arrange for an investigation to take place the solid conservation the Modes Lateries Delice and December 6 at the Destantion of















Person-Centred Approach

to safeguarding adults at risk





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

- Strong emphasis on
- actively engaging with the adult to find best way to meet needs
- supporting / working with adult as equal partner
- actively seeing and listening
- empowering them to retain control over their life
- producing innovative solutions



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Effective engagement means:

- enabling them to understand practitioner concerns
- giving them time and opportunities to express their wishes, feelings and opinions
- seriously considering their opinions
- balancing capacity and duty of care considerations with their right to self-determination



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Stresses the need to clearly understand

- what a day in their life is like
- their feelings about their day
- what they would like to change
- what matters to them
- what they wish to achieve
- their personal outcomes





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Strong emphasis on ensuring **the voice** of the adult is supported and represented

- decisions are made in their best interests
- their rights are upheld
- their views and experiences
 are heard and respected



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Adults with **communication needs** have the right to an advocate

Advocates can be **informal** (appropriate friend or family member) or **commissioned** by local authority (Independent Professional Advocate)





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Universal thresholds are not possible because we are dealing with individuals.

For risk assessments to be **person-centred**:

 each case must be assessed based on the individual's circumstances





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

- practitioners must use their professional judgement when establishing the adult's care, support and/or protection needs
- practitioners need to be aware of barriers to consistent decision-making
- individual practitioners need to be aware of their own biases, values and beliefs which impact on their perception
- supervisors need to recognise and challenge practitioner bias, values and beliefs



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

- shift from 'best practice' to 'mandatory'
- adult must be assumed to have capacity unless established otherwise
- this <u>does not exempt</u> professionals from conducting **robust assessments**
- consideration should be given to the level of duress the adult may be experiencing
- <u>any</u> act done or decision made for or on behalf of an adult **must** be in their **best** interest



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

Try to obtain consent from the adult at risk.

- "no consent does not mean no action"
- Adult's **best interests** <u>must</u> be the overriding consideration
- Any action that is taken should be proportionate to the risk of abuse.



- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

GDPR allows personal information to be lawfully shared without consent where there is a legal requirement or the practitioner deems it to be in the public interest, including in order to prevent abuse or serious harm to others.





You may **override** the adult's **wishes** if:

- The risk to the adult is high
- Others may be at risk
- a crime is suspected
- They appear to be under the influence of another person
- The concerns are about a failure in care; breach of conduct; institutional abuse

You may decide **not to seek** consent if:

- it may put the adult at further risk
- it may result in the adult being threatened or coerced into silence
- it may result in important evidence being destroyed/lost
- the alleged abuser is the carer or family member
- the person does not want to involve their carer
- it is in the public interest





- Co-production
- Engagement
- Daily Lived Experience
- Advocacy
- Thresholds
- Consent

When making a report <u>without</u> consent, **you must**:

- Record the reason/s why
- Tell social services that you haven't had consent

NB: this should not result in the report being treated any differently to one that has consent

 Tell the adult that you've made a report despite their wishes





You may wish to pause the presentation here look at the information regarding Consent in Section 2 of the procedures

Section 2 > The duty to report an adult at risk of abuse and/or neglect > **Seeking consent to a report**



Terminology some new, some updated





The term **'Vulnerable Adult'** is no longer used, and has been replaced with:

Adult at Risk





Designated Safeguarding Person (DSP)

Refers to the person within your organisation who:

- is available to discuss safeguarding concerns
- should be consulted as to whether to raise a safeguarding concern with the local authority
- will manage any immediate actions required to ensure the individual at risk is safe from harm





The term 'Make a Referral' is no longer used, and has been replaced with:

Make a Report





Duty to Report

Greater emphasis and clarity on <u>everyone's</u> responsibility to make a report whenever there are safeguarding **concerns** or **suspicions**.

Explains and clarifies that

- relevant partners of the local authority have a statutory (legal) duty to report and who they are.
- anyone who is not a 'relevant partner' must still report any safeguarding concerns in the same way





All practitioners have a responsibility to report any concerns raised by the general public, in both their **work and private** lives:

- When a member of the public tells a practitioner in their professional capacity about concerns
- When a neighbour, family member, friend, or acquaintance of a practitioner talks about concerns.
- Practitioners must not leave it to the member of public to contact social services, or just advise the person to contact social services directly.
- If a member of the public discusses their safeguarding concerns with a practitioner, either in their professional capacity or in their private life, the practitioner has a duty to report the concerns to social services.



Section 5

Safeguarding Allegations / Concerns about Practitioners and Those in Positions of Trust





- 'Part 4' now 'Section 5'
- Increased scope of who is included
- Stronger emphasis on duty to report
- Increased scope of when to follow
- Stronger emphasis on how such allegations / concerns are handled to ensure a fair, consistent, timely process
- Stronger emphasis on whistle blowing policies



Increased scope of who is included

- Any practitioner whose work brings them into contact with adults at risk
- Individuals with caring responsibilities for adults in need of care and support, and their employment or voluntary work brings them into contact with adults at risk
- People in a Position of Trust





Person in a Position of Trust

A person is in a position of trust if **the work** they do, or the **nature of the service** they provide, means that they:

- are likely to have contact with adults at risk as part of their employment or voluntary work
- have a position of trust, authority, power or influence as perceived by the adult
- are expected to safeguard the interests of adults at risk





Stronger emphasis on duty to report

- Concerns about the conduct or behaviour of a practitioner / person in a position of trust <u>must</u>
 be reported to social services or the police
- Includes private life concerning behaviour of a friend, family member or neighbour who is also a practitioner / person in a position of trust, must be reported to social services or the police





Increased scope of when to follow

Whenever there are concerns or allegations

- of abuse, neglect or harm made against those whose work, either in a paid or voluntary capacity, brings them into contact with adults at risk
- that a person is unsuitable to work with adults at risk





"Unsuitable to Work With"

A practitioner may be considered unsuitable to work with adults at risk if:

- Are/were subject of criminal procedures that indicate a risk of harm
- Caused harm or possible harm to any adult at risk and they may pose a risk in their working, volunteering, or caring environment
- Behaved in their personal life in a way that could put adults at risk
- Behaved in a way that undermined the trust they have through their position
- Caring responsibilities for an adult who is subject to Safeguarding Procedures





Stronger emphasis on **how** such allegations / concerns are handled to ensure a **fair**, **consistent**, **timely** process

Stronger emphasis on **whistle blowing policies**, including ensuring all organisations have a whistle blowing procedure, including for **volunteers**.





Can be run **in tandem** with, or **in isolation** from, the Wales Safeguarding Procedures for Adults at Risk

Procedures for responding to safeguarding allegations / concerns about practitioners and those in positions of trust

Focus is on a **practitioner**or person in a position of trust
who is suspected of **causing** abuse,
neglect or harm

Wales
Safeguarding
Procedures

Focus is on **an adult at risk** of **experiencing** abuse, neglect or harm

Internal protocols

Internal process by employer





Outcome Professional Strategy Meeting

Substantiated

sufficient evidence to prove the allegation

Unsubstantiated

there is **insufficient evidence** to prove or disprove the allegation

Unfounded

person making allegation **misinterpreted** the incident, was **mistaken** about what they saw, or was **not aware** of all the circumstances

Deliberately invented/malicious

clear evidence to prove the allegation is entirely false and there has been a **deliberate act to deceive**





New 'Section 5' Regional Protocol

- sets out the arrangements for responding to safeguarding concerns about those whose work, either in a paid or voluntary capacity, brings them into contact with children or adults at risk.
- supports agencies' own internal disciplinary procedures
- provides guidance to deal appropriately with any concerns or allegations of professional abuse or neglect and to ensure that all allegations of abuse made against staff or volunteers working with children, young people and adults at risk are dealt with in a fair, consistent and timely manner.



You may wish to pause the presentation here look at Section 5

- How to make a report about a practitioner what to do / not do
- What to do if an allegation is made against you
 your rights and what to do / not do



Report Maker

Any practitioner or member of the public who reports safeguarding concerns to social services.

The Wales Safeguarding Procedures clearly **describe** both their **role and expectations**.





Report Taker

The **social services practitioner** who receives the report, completes initial checks and establishes whether immediate action is required

The Wales Safeguarding Procedures clearly describe both their role and responsibilities.

Key change: Local Authority should acknowledge receipt of report (referral) in writing within seven working days



S126 Enquiries

- Determines the action to be taken to ensure adult's safety
- Can be held as often and for as long as necessary
- Local Authorities can delegate enquiries, but still have the statutory duty to ensure they are carried out competently
- Specific guidance on what should be covered as part of S126 enquires
- Makes clear 5 specific circumstances when the adult should be seen the same day



S126 Enquiries - Determinations

Three determinations (outcomes) to enquiries:

- Immediate Protection.
- The adult is not at risk but may have care and support needs.
- Adult at risk and action to protect needed

Decision may also be NFA - the adult is not at risk and no unmet care and support needs have been identified





Strategy Discussion / Meeting

- Held when s126 enquiries conclude reasonable cause to suspect that an adult is at risk of abuse
- Within 7 working days of s126 enquiries conclusion, unless immediate action is necessary
- Conducted by telephone, video-conferencing or face-to face
- Reviews the concerns of abuse and/or neglect to the adult at risk and other adults or children at risk



The term 'Case Conference' is no longer used and has been replaced with:

Adult Protection Conference





Adult Protection Conference

Change to the conference **process**, including:

- Attendees should provide written report to the chair 2 working days in advance
- Clear guidelines on how to write the report and what to include
- Clear expectation for reports to be shared with the adult at risk prior to and after
- Records of conference should detail and evidence discussion and conclusion/s
- The record should be sent to the adult at risk where appropriate



Adult Protection Conference

Stresses clear guidelines and expectations for facilitating the adult at risk's attendance and participation

- that attendance at conference alone is not participation
- that adults at risk are offered and supported by an independent advocate throughout the process.
- that the adult at risk should be a steer for the development of the care and support protection plan.
- what to do if adult does not want to attend





The term 'Designated Lead Manager (DLM)' is no longer used and has been replaced with:

Lead Co-Ordinator





Lead Co-Ordinator

Details role and responsibilities, including:

- replaces and extends former DLM role and function
- must be employed within Social Services and a qualified social worker registered with Social Care Wales where possible
- in some circumstances role may be delegated to another agency (i.e. health) BUT statutory responsibility remains with the local authority.
- ensures an adult protection conference is convened, chaired and recorded
- ensures that the adult protection process is followed and monitors progress
- details requirements if the role is delegated





Lead Practitioner

lead care and support protection plan practitioner

- must have the knowledge and skills to undertake the role
- takes the multi-agency lead
- actively engages and works in partnership with the adult
- sees the adult within at least 5 working days of the strategy meeting;
- ensures the adult is given the opportunity to be seen alone at a minimum of be every 4 weeks.
- ensures contribution from the adult at risk to plan's development
- ensure the plan captures the adult's holistic care and support needs





The term 'Individual Protection Plan' is no longer used and has been replaced with:

Care and Support Protection Plan





Care and Support Protection Plan

- emphasis is on safeguarding and protection elements of plan
- person-centred serious attention is given to the adult's desired outcomes
- strengths-based protective factors and adult's and family's strengths are recognised and utilised
- should include all elements required under Part 4 of the Act



Care and Support Protection Plan

Practitioners must make sure that:

- exactly what the process is seeking to achieve is clear at the earliest possible stage
- the difference between the activity (output) associated with the process and the outcome itself is clearly understood
- measures of progress are framed in terms of improvements expected to the adult's daily lived experience
- the plan's rationale is understood by the adult at risk and their carers.





Effective Safeguarding Practice

It is expected that **every person** in contact with or working with adults at risk, their carers, and their families:

- understands the safeguarding process
- understands their role and responsibilities to safeguard
- is familiar with and follows their organisation's procedures and protocols for safeguarding





Effective Safeguarding Practice

- is alert to indicators of abuse and neglect
- has access to and complies with the Wales Safeguarding Procedures
- knows when and how to report any concerns
- works co-operatively with the adult at risk, carers and families
- is committed to fully co-operating with all other agencies in the interests of safeguarding adults at risk of abuse and neglect.



Practitioner Needs

Recognition that for you to be able to apply an effective safeguarding system, you need:

- the necessary knowledge and skills All practitioners should be trained to a level that is conducive to their role and responsibilities.
- to be supported by Managers and Regional Safeguarding Boards.
- a working environment that leads to an effective safeguarding system





Practitioner Needs

- to be able to use your professional judgment
- to have direct access to advice about any concerns about an adult at risk
- to be able to operate in a multi-agency and cooperative way
- to be able to access professional strategic leadership which supports you





Next Steps ...





Things to Consider...

- How will you access, use and embed the Wales Safeguarding Procedures (if not done so already)?
- What aspects of the procedures are especially relevant / essential to the current way of working during COVID-19?
- Moving forward, what other aspects of the procedures might be significant to your work/ practice?
- What aspects of your work (if any) may need to adapt to this way of working? How might this be done?



Please provide your feedback

Gwent Safeguarding Partnership would greatly appreciate your feedback on this resource, including whether you found it useful, etc.

A short evaluation form can be found on our website adjacent to the link to this video and should only take 5 minutes to complete.





If you have any questions or wish to discuss aspects in relation to the new procedures, please contact your agency's or team's WSP **'Champion'**

NB: This will normally be someone in your team identified as a procedures 'Champion', who attended Gwent Safeguarding WSP briefing session, and who facilitated cascading/disseminating this presentation and the 'Quick Guides to Key Changes'.